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Lipoproteins and fatty acids in CKD: from molecular and metabolic alterations to pathophysiology and risk for kidney and heart

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Key points

- 1. HDL and LDL modifications in CKD contribute to increased cardiovascular risk. Targeting these modifications may reveal interesting future strategies for therapy.
- 2. In CKD, alterations in proteome content, metabolic waste accumulation and posttranslational modifications transform HDL from an anti-inflammatory to a pro-inflammatory molecule and enhance the pro-inflammatory character of LDL.
- 3. HDL modifications and the altered relation of HDL levels with cardiovascular risk in CKD compared with the general population support the concept that HDL-functionality rather than HDL-cholesterol levels influences cardiovascular risk.
- 4. With increased kidney function decline, non-atherosclerotic CVD increasingly contributes to cardiovascular risk, further contributing to an altered correlation of lipoprotein levels with overall cardiovascular risk.
- 5. Deregulated fatty acid metabolism and mitochondrial dysfunction not only negatively impact on the heart, but also on kidney pathology through inflammation and fibrosis, with protective effects provided by autophagy.
- 6. Accumulation of saturated fatty acids triggers mitochondrial and cell damage in the kidney, whereas polyunsaturated fatty acids docosahexaenoic acid and eicosapentaenoic acid provide protective effects.

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1 Abstract

2 Chronic kidney disease (CKD) induces modifications in lipid and lipoprotein metabolism and homeostasis. These modifications can induce, modulate and/or accelerate 3 CKD as well as the secondary disease cardiovascular disease (CVD). Lipid and lipo-4 protein abnormalities may involve triglyceride-rich lipoproteins, low-density lipoprotein 5 6 (LDL) and high-density lipoprotein (HDL), with alterations not only linked to concentration but also to molecular structure including protein composition, small-molecule ac-7 cumulation as well as post-translational modifications. These alter lipoprotein function 8 and enhance pro-inflammatory processes. Furthermore, a deregulated metabolism of 9 fatty acids as important lipid mediators in energy production has been identified to not 10 only negatively impact on the heart, but to contribute also to progression of kidney 11 damage. By summarizing causes, identity and pathophysiological consequences of 12 lipid and lipoprotein modifications in CKD, this review aims to stimulate additional fu-13 ture efforts in unraveling the pathophysiological link between the failing kidney and 14 genesis and/or progression of CVD. 15

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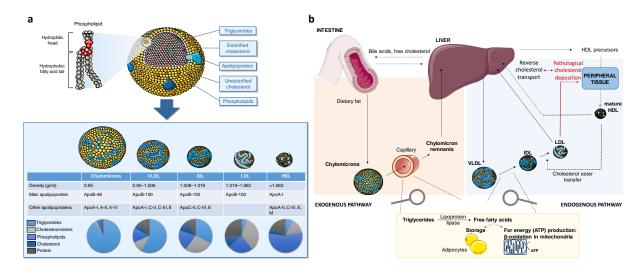
1 Introductory box with figure

Lipids are hydrophobic and therefore not soluble in aqueous media, e.g. in plasma. Therefore, free **fatty acids** are mainly transported by binding to albumin, whereas **triglycerides** (being esters of three fatty acids with glycerol) and **cholesterol** are transported within **lipoprotein particles**. Here, lipids and proteins aggregate non-covalently to form micelle-like particles with a hydrophobic core of cholesterol esters and triglycerides. The lipoprotein shell consists of phospholipids, the hydroxyl-groups of unesterified cholesterol and proteins, generally known as apolipoproteins (**Figure 1A**).

9 The metabolism of lipids and lipoproteins can be divided into exogenous and 10 endogenous pathways (Figure 1B). In the exogenous pathway, lipids are absorbed in the intestine. Fatty acids react with glycerol to form triglycerides, and cholesterol is 11 esterified to form cholesterol esters. Triglycerides and cholesterol are assembled in-12 tracellularly as chylomicrons. Chylomicrons are metabolized to chylomicron remnants 13 14 by lipoprotein lipase, releasing free fatty acids from its triglycerides for energy storage or production. To the latter, free non-esterified fatty acids are transported into mito-15 16 chondria, where they undergo β -oxidation to feed the citric acid cycle and respiratory chain for energy production in the form of adenosine triphosphate (ATP). Chylomicron 17 18 remnants are cleared from the circulation by hepatic chylomicron remnant receptors before further metabolism to bile acids and cholesterol. 19

Endogenously, the liver generates very low-density lipoproteins (VLDL), 20 whose triglycerides are subsequently hydrolysed by lipases in peripheral tissues for 21 energy storage or production. The VLDL lipid content is reduced by the lipolysis pro-22 cess, whereby intermediate-density lipoproteins (IDL) are generated. By uptake of 23 cholesterol esters from HDL, IDL is transformed to low-density lipoproteins (LDL). 24 LDL is either metabolized by the liver or, upon excess, deposited in peripheral tissues 25 and taken up by macrophages, triggering atherosclerosis¹. On the other hand, high-26 27 density lipoproteins (HDL) exert important cardioprotective properties through reverse cholesterol transport, taking up excess cholesterol from peripheral tissues for 28 transport and removal in the liver^{1,2}. 29

These different lipoprotein classes can be distinguished based on density, which reflects their relative proportion of proteins and lipids (**Figure 1A**)³. Furthermore, they alter in the main apolipoprotein within their outer shell, with these apolipoproteins exerting important roles in lipoprotein metabolism and function⁴.



2

3 Figure 1. Lipoprotein structure, classification and metabolism. A. Within lipoprotein particles, lipids and proteins aggregate non-covalently to form micelle-like particles with a hydro-4 phobic core of cholesterol esters and triglycerides and an outer shell of phospholipids, unester-5 6 ified cholesterol and apolipoproteins. Lipoprotein particles are classified based on their density and differ in lipid and protein composition³. **B.** Lipid metabolism can be divided into an exoge-7 8 nous pathway, with dietary fat assembled into chylomicrons, and into an endogenous pathway, with VLDL particles synthesized in the liver. Both chylomicrons and VLDL mediate transfer of 9 mainly triglycerides to cells and peripheral tissue, where triglycerides are hydrolysed into free 10 fatty acids for energy storage in adipocytes or energy use, the latter through β-oxidation in the 11 mitochondria. Chylomicron remnants are metabolized by the liver, whereas VLDL is trans-12 formed by triglyceride depletion over IDL into LDL. LDL mediates cholesterol removal by the 13 14 liver or deposits excess cholesterol in tissue, whereas HDL mediates reverse cholesterol from 15 peripheral tissues into the liver.

1. INTRODUCTION

Lipids play essential roles as components of cell membranes, as mediators of intracel-2 lular and intercellular signalling as well as in energy storage and production. Here, free, 3 non-esterified fatty acids undergo β-oxidation in mitochondria, resulting in the pro-4 5 duction of ATP (**Box 1**). Fatty acids can circulate in a non-esterified form in plasma bound to albumin. Alternatively, they are esterified with a glycerol molecule to triglyc-6 erides and are transported together with cholesterol within lipoprotein particles 7 (Box 1). Chylomicrons and very-low density lipoproteins (VLDL) are formed in the in-8 testine and liver, respectively. They contain a high proportion of triglycerides and, 9 through triglyceride hydrolysis by lipoprotein lipases, provide fatty acids to tissues for 10 11 energy production or storage. Low-density lipoproteins (LDL), formed out of VLDL due to substantial loss of triglyceride content, transport cholesterol to the liver for removal 12 13 from the organism, but also trigger pathological cholesterol deposition in peripheral 14 tissues¹. In contrast, high-density lipoproteins (HDL) mediate cardioprotective reverse cholesterol transport from peripheral tissues for cholesterol removal by the liver^{1,2} (Box 15 16 **1)**.

In the general population, increased plasma levels of LDL-cholesterol (LDL-C) 17 highly contribute to increased risk of atherosclerosis and cardiovascular disease 18 (CVD)¹. Also, high triglyceride levels are associated with increased cardiovascular 19 risk⁵, whereas an inverse relation was revealed for HDL-cholesterol (HDL-C)^{6,7}. Fur-20 thermore, fatty acid overload has been linked with mitochondrial oxidative stress and 21 the accumulation of toxic fatty acid derivatives in the heart, with mitochondrial dysfunc-22 tion negatively impacting on the heart⁸. Thus, lipoprotein particles and lipid metabolism 23 24 highly impact on cardiovascular risk.

Patients with chronic kidney disease (CKD) are at increased cardiovascular risk, with CVD accounting for around half of deaths in patients with CKD stage 4-5⁹. In addition to an increased incidence of atherosclerosis-related cardiovascular events along all stages of CKD, patients become also more prone to non-atherosclerotic cardiovascular diseases^{9,10}. Compared to the non-CKD population at high cardiovascular risk, CKD patients typically present an altered picture of dyslipidemia as well as an altered relation of lipoprotein levels with cardiovascular risk.

Furthermore, fatty acid profiles and metabolism are altered in CKD^{11,12}, and deregulated fatty acid metabolism has been identified to not only negatively impact on

- 1 the heart⁸, but to contribute also to further kidney damage¹³. Here, we will **summarize**
- 2 current knowledge on dyslipidemia in CKD in terms of lipoproteins and fatty ac-
- 3 ids, including altered levels and molecular changes, underlying mechanisms,
- 4 pathophysiological consequences for the kidneys and cardiovascular risk. In this
- 5 way, this review aims to stimulate future efforts unravelling the pathophysiological link
- 6 between declining kidney function and genesis and/or progression of CVD.

1 2. ALTERED LIPID METABOLISM IN CKD

2 2.1 Lipoprotein particles, cholesterol and triglycerides

Serum lipid profiles from patients with moderate to advanced CKD mostly display decreased HDL-C levels¹⁴, unaltered LDL-C levels¹⁴ and increased levels of triglycerides^{14,15} and triglyceride-rich lipoprotein particles (VLDL, IDL and chylomicron remnants)¹⁶ (**Figure 2**).

7 2.1.1 High-density lipoprotein

A lower level of **HDL-C** in CKD patients compared to healthy subjects¹⁴ can, at least in part, be explained by a reduced biosynthesis of its main apolipoprotein ApoA-I¹⁷. Also, CKD patients display an impaired HDL maturation due to reduced expression of lecithin-cholesterol acyltransferase (LCAT)^{18,19} (**Figure 2**). This HDL-bound enzyme esterifies free cholesterol acquired by ApoA-I within nascent HDL and thereby coordinates the production of mature HDL, rich in esterified cholesterol.

14 2.1.2 Low-density lipoprotein

CKD patients display an increase in small dense LDL-C¹⁶, with concentrations increas-15 ing with declining glomerular filtration rate (GFR) and associated with future cardiovas-16 cular events²⁰. On the other hand, total serum LDL-C levels are variable in CKD pa-17 tients. Hypercholesterolemia is a risk factor for CKD development and also in CKD 18 patients with mild to moderate CKD, hypercholesterolemia and increased LDL-C levels 19 can be observed, especially in patients with proteinuria (nephrotic syndrome), as sum-20 marized before^{21,22}. However, most studies of patients with moderate to advanced 21 CKD as well as patients with kidney failure found total LDL-C levels to be unaltered^{14,22} 22 or even decreased^{16,23}. This may be explained by a reduced LDL production through 23 reduced catabolism of triglyceride-rich lipoprotein particles, being mainly in balance 24 with a reduced LDL clearance in CKD²⁴ (Figure 2). Reduced LDL catabolism and as-25 sociated increased LDL residence time as observed in advanced CKD²⁴ have been 26 linked with a higher degree of post-translational modifications, as e.g. oxidation, of 27 LDL²⁵. As discussed in more detail later on, CKD patients display increased molecular 28 changes within lipoproteins. For LDL, this reduces binding to its receptors LDLR and 29 30 LDLR related protein in the liver and may thereby contribute to reduced LDL clearance from plasma (Figure 2). 31

1 2.1.3 Triglycerides and very low-density lipoprotein

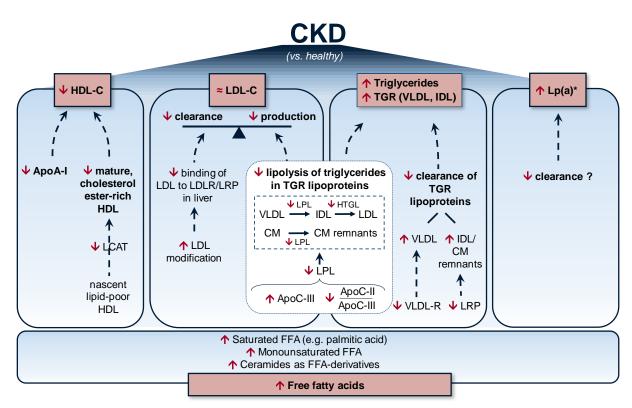
The concentration of triglycerides already increases in early stage CKD¹⁵, with triglyc-2 eride levels above 200 mg/dl especially abundant in predialysis patients with nephrotic 3 syndrome²¹. Increased levels of trialyceride-rich lipoproteins in CKD can be ex-4 plained by a reduced catabolism of these particles through increased ApoC-III levels, 5 a reduced ApoC-II/ApoC-III ratio^{26,27} and an associated reduced activity of lipoprotein 6 7 lipase in CKD²⁷. Also, reduced activity of hepatic triglyceride lipase has been reported in CKD-5D patients²³. Furthermore, expression of VLDLR²⁸ and LDLR related protein²⁹ 8 were downregulated in experimental CKD, which through reduced clearance of re-9 maining VLDL particles as well as of IDL and chylomicron remnants may contribute to 10 increased triglyceride-rich particles in CKD (Figure 2). Also, direct impact of uremic 11 toxins as well as insulin resistance³⁰ in conjunction with a high prevalence of diabetic 12 comorbidity³¹ have been suggested to contribute to CKD-dependent hypertriglycer-13 idemia. 14

15 2.1.4 Lipoprotein(a)

Lipoprotein(a) (Lp(a)) is an LDL-like lipoprotein containing apolipoprotein(a) (Apo(a)) covalently bound to apolipoprotein B100 (ApoB100). Its plasma levels are strongly determined genetically²² and it has strong pro-inflammatory properties¹. Probably related to reduced clearance, Lp(a) levels are increased in CKD patients with large Apo(a) isoforms, especially in the more advanced stages, as well as in patients with nephrotic syndrome irrespective of the Apo(a) isoform size^{22,32} (**Figure 2**).

23 **2.2 Free fatty acids**

CKD patients have increased total levels of free fatty acids in serum compared to 24 healthy controls¹¹. Among fatty acid subclasses, saturated fatty acids^{11,12} and mon-25 ounsaturated fatty acids^{33,34} are increased in concentration, whereas polyun-26 saturated fatty acid levels were mostly found to be decreased^{11,33,34}. Also, C16-27 C20 saturated fatty acids, as palmitic acid, are increased in patients with CKD stage 5 28 compared to CKD stage 2-4¹². In addition to increased levels of free fatty acids, pa-29 tients with CKD also have increased serum levels of ceramides as fatty acid deriva-30 tives, with ceramide levels increasing with declining kidney function³⁵. 31



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Figure 2: Dyslipidemia in CKD. Compared to healthy subjects, CKD patients display de-3 4 creased serum levels of high-density lipoprotein-cholesterol (HDL-C) as well as impaired HDL 5 maturation. Furthermore, whereas increased low-density lipoprotein-cholesterol (LDL-C) levels can be observed in patients with early stage CKD, LDL-C levels are mostly unaltered in 6 moderate to advanced CKD stage 4-5 as well as in kidney failure, with reduced LDL production 7 8 mainly balanced by reduced LDL clearance. In contrast, CKD patients typically display increased serum levels of triglycerides, very low-density lipoprotein (VLDL), intermediate-9 density lipoprotein (IDL) and chylomicron (CM) remnants. This is related to a reduced 10 11 catabolism of these triglyceride-rich (TGR)-lipoproteins through a reduced activity of lipoprotein 12 lipase (LPL) and/or hepatic triglyceride lipase (HTGL) as well as by a reduced clearance of these lipoprotein particles by reduced expression of VLDLR and LRP. ApoC-III is an inhibitor 13 and ApoC-II an activator of LPL. Furthermore, lipoprotein(a) (Lp(a)) is increased in CKD pa-14 tients with large Apo(a) isoforms, especially in moderate to advanced stage, most likely related 15 to reduced clearance. Finally, also free fatty acids (FFA) levels are increased in CKD. The 16 red arrows indicate the direction of up- or downregulation in CKD. ApoA-I = Apolipoprotein A-17 I: ApoC = Apolipoprotein C; HTGL = hepatic triglyceride lipase; LCAT = lecithin-cholesterol 18 19 acyltransferase; LDLR = LDL receptor; LRP= LDL receptor related protein; VLDLR = VLDL 20 receptor; TGR = triglyceride-rich lipoprotein. *in patients with large Apo(a) isoform.

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3. IMPACT OF LIPID METABOLISM ON KIDNEY PATHOPHYSIOLOGY

2 **3.1 Insights from epidemiological studies and clinical trials**

3 3.1.1 Lipoprotein particles, cholesterol and triglycerides & CKD

Risk of **CKD development** increases with high levels of LDL-C³⁶, total and non-HDL
cholesterol³⁷ and triglycerides³⁸, as well as with low levels of HDL-C³⁹.

On the other hand, risk of CKD progression was not associated with triglycer-6 ide levels⁴⁰. Furthermore, the CRIC ('Chronic Renal Insufficiency Cohort') cohort re-7 ported that neither total cholesterol, VLDL-C, LDL-C nor HDL-C were independently 8 associated with CKD progression⁴⁰. Also, neither statins nor ezetimibe or PCSK-9 9 inhibition were found to preserve kidney function in CKD, as revealed in the SHARP 10 ('Study of Heart and Renal Protection')⁴¹ and FOURIER ('Further Cardiovascular Out-11 comes Research with PCSK9 Inhibition in Subjects with Elevated Risk') trial⁴². For 12 HDL-C, some studies could not detect a correlation of HDL-C with risk of renal function 13 decline⁴⁰, whereas others observed that both low and high HDL-C levels are associ-14 ated with increased risk of renal function decline^{43,44}. 15

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17 3.1.2 Free fatty acids & CKD

Low intake of polyunsaturated fatty acids has been associated with a higher incidence of kidney failure⁴⁵. Also, in patients with diabetes, polyunsaturated fatty acid intake was negatively associated with CKD⁴⁶ and albuminuria progression was reported to be higher with higher saturated fatty acid to polyunsaturated fatty acid intake ratios⁴⁷. Later studies mainly concluded that polyunsaturated fatty acids intake was associated with reduced albuminuria in diabetic nephropathy, as comprehensively discussed previously⁴⁸.

In relation to n-3 **polyunsaturated fatty acid supplementation**, a trial with diabetic patients with stable coronary artery disease but preserved kidney function revealed that combined eicosapentaenoic acid and docosahexaenoic acid supplementation could prevent the increase in urinary albumin to creatinine ratio at one year of follow-up observed in the control group⁴⁹. On the other hand, a recent clinical trial of combined eicosapentaenoic acid and docosahexaenoic acid supplementation could not reveal a beneficial effect in preserving kidney function in patients with type 2 diabetes mellitus over a 5 years period⁵⁰. In conclusion, there is currently no conclusive
evidence that n-3 or n-6 polyunsaturated fatty acids supplementation is beneficial in regard to kidney function preservation. The 'Kidney Diseases Global Outcomes' (KDIGO) 2012 guidelines do not provide specific dietary recommendations in
relation to fatty acids⁵¹.

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8 3.2 Insights from experimental models

Over the last years, multiple studies have investigated in experimental models the im-9 pact of altered lipid metabolism on kidney pathophysiology. This revealed a close link 10 of impaired fatty acid metabolism, mitochondrial overload and dysfunction with 11 kidney damage, inflammation and fibrosis^{13,52}. Furthermore, mitochondrial dysfunc-12 tion and cellular stress were not equally induced by all fatty acid subclasses, with the 13 saturated fatty acid palmitic acid triggering kidney cell injury in contrast to 14 mainly protective effects of the monounsaturated fatty acid oleic acid and the n-15 3 polyunsaturated fatty acids docosahexaenoic acid and eicosapentaenoic acid. 16

Also, high-fat diet feeding of mice as trigger of **hyperlipidemia and obesity**⁵³, both risk factors of CKD²¹, **accelerates mitochondrial overload-induced CKD**⁵² and can also trigger intracellular lipid accumulation and damage in the kidney *per se*, with a key role for inflammation. Below, each of these aspects is discussed in more detail.

21 **3.2.1** Intracellular lipid accumulation and impaired fatty acid metabolism

Non-esterified fatty acids constitute the main energy source for the kidney and espe-22 23 cially for the proximal tubular epithelial cells, which have a high mitochondrial density. After cellular uptake by CD36, fatty acid-binding protein or receptor-mediated endocy-24 25 tosis through albumin-binding, fatty acids are broken down for energy production. This occurs through β-oxidation of fatty acids in the mitochondria, which triggers ATP pro-26 27 duction by feeding the citric acid cycle via acetyl-CoA as well as the respiratory chain (oxidative phosphorylation) pathway via NADH and FADH₂ (Figure 3). However, de-28 29 pendent on fatty acid plasma levels and an imbalance between cellular uptake and metabolism, fatty acids may also accumulate in tissue. This has been associated with 30 lipotoxicity and pathological consequences such as fibrosis in the heart⁵⁴. In kidney, 31

intracellular lipid accumulation was observed in patients as well as mouse models
 presenting with tubulointerstitial fibrosis¹³.

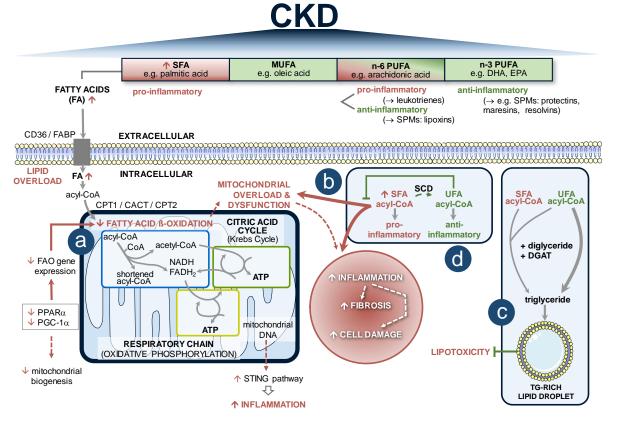
3 However, the hypothesis that intracellular lipids are cytotoxic *per se*, has been challenged. Whereas mice overexpressing CD36 in tubules did not develop kidney 4 fibrosis despite increased lipid levels in tubular epithelial cells, inhibition of fatty acid β-5 oxidation did shift tubule epithelial cells towards a fibrotic phenotype, increased cell 6 death and in parallel triggered intracellular lipid accumulation. In contrast, genetic or 7 pharmacological improvement of fatty acid β -oxidation protected from kidney fibrosis¹³. 8 9 In line, mitochondrial acetyl-CoA overload through genetic deficiency of the enzyme carnitine acetyltransferase in proximal tubular epithelial cells reduced mitochondrial 10 11 respiration capacity and induced tubular disease with secondary glomerulosclerosis in mice, which was even more aggravated in conditions of high-fat diet⁵². 12

Also, in tubule epithelial cells, Notch was revealed to drive fibrosis by downreg-13 ulating fatty acid β-oxidation and reducing the expression of peroxisome proliferator-14 activated receptor alpha (PPAR α) and PPAR γ coactivator-1 α (PPARGC1A, encoding 15 for PGC-1 α)⁵⁵, with PPAR α /PGC-1 α key transcriptional regulators of fatty acid uptake 16 and oxidation and with PGC-1a also driving mitochondrial biogenesis. Impaired mito-17 chondrial respiration and apoptosis of proximal tubule cells triggered by fatty acid over-18 load could be counteracted by blocking mitochondrial fatty acid uptake and thus mito-19 chondrial overload, as well as by restoring the activity of the antioxidant enzyme perox-20 iredoxin 2, thus linking fatty acid accumulation through mitochondrial overload to oxi-21 dative stress and cellular apoptosis⁵⁶. 22

Combined, these findings suggest that not intracellular lipid accumulation per se, but rather impaired β-oxidation of fatty acids and mitochondrial overload underlie renal damage, inflammation and fibrosis (Figure 3a). A multitude of recent experimental studies provide further support of this concept⁵⁷⁻⁶⁰. Furthermore, inflammation was identified to play a key role in mitochondrial damage-induced renal fibrosis, initiated by the innate immune pathway STING that is activated upon escape of mitochondrial DNA into the cytoplasm⁶¹.

Also in patients with tubulointerstitial fibrosis, expression of PPAR α and PGC-1 α was found to be reduced¹³. Furthermore, mass spectrometric analyses of plasma from CKD patients revealed that the ratio of long-to-intermediate chain acylcarnitines as marker of the efficiency of β -oxidation, gradually decreased from early CKD stage 2 to advanced CKD stage 5, reflecting reduced β-oxidation of long-chain fatty acids
 with declining kidney function¹². Comparable findings were revealed in patients with
 progressing diabetic kidney disease compared to non-progressors⁶². Altogether, these
 findings reveal reduced β-oxidation of fatty acids and mitochondrial overload
 and/or dysfunction as potential therapeutic targets in fibrosis and CKD.





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Figure 3. Impaired fatty acid metabolism and mitochondrial overload contribute to in-8 9 flammation, fibrosis and cellular damage in kidney. Depending on the presence of double bonds within their chemical carbon-hydrogen structure, fatty acids are classified as saturated 10 (SFA), monounsaturated (MUFA) or polyunsaturated (PUFA). Important subclasses among 11 polyunsaturated fatty acids are omega-6 (n-6) polyunsaturated fatty acids (e.g. including ara-12 chidonic acid, linoleic acid) and omega-3 (n-3) polyunsaturated fatty acids (e.g. α -linolenic acid, 13 eicosapentaenoic acid (EPA), docosahexaenoic acid (DHA)). (a) Mitochondrial overload by 14 fatty acid overload **and/or impaired β-oxidation** of fatty acids triggers oxidative stress, fibrosis 15 and kidney damage. (b) Fatty acid subclasses differentially impact on mitochondrial dys-16 17 function and cellular damage: saturated fatty acid palmitate induces mitochondrial stress; monounsaturated fatty acid oleate can increase β-oxidation of fatty acids, with increased β-18 oxidation of fatty acids protecting from saturated fatty acid-induced injury. (c) Integration of 19 fatty acids in triglycerides and lipid droplets can protect against fatty acid-induced cel-20 **Iular toxicity.** With regard to different fatty acid classes: cellular lipotoxicity of saturated fatty 21 acid palmitate is associated with poor incorporation into triglycerides, in contrast to monoun-22 saturated fatty acid oleic acid. Also, oleic acid can increase palmitate incorporation into triglyc-23 24 erides simultaneously to reducing palmitate cytotoxicity. (d) Saturated fatty acids mainly exert

pro-inflammatory cellular effects, whereas monounsaturated fatty acids and n-3 polyunsatu-1 2 rated fatty acids are mainly anti-inflammatory. ATP = adenosine triphosphate; DGAT = diglyceride acvltransferases; DHA = docosahexaenoic acid; EPA = eicosapentaenoic acid; FA = fatty 3 acids; FABP = fatty acid-binding protein; FAO = fatty acid β -oxidation; MUFA = mono-unsatu-4 rated fatty acid; PUFA = poly-unsaturated fatty acid; SFA = saturated fatty acid; SCD = stea-5 6 royl-CoA desaturase; SPM = specialized pro-resolving mediators; TG = triglyceride; PPAR α = 7 peroxisome proliferator-activated receptor alpha; PGC-1 α = PPAR γ coactivator-1 α ; UFA = un-8 saturated fatty acid.

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3.2.2 Effect of fatty acid subclasses on mitochondrial dysfunction and kidney damage

Among the fatty acid subclasses, saturated fatty acids have been more associated with 12 kidney cell injury compared to monounsaturated and polyunsaturated fatty acids. For 13 example, the saturated fatty acid palmitate triggers the production of reactive oxygen 14 species, endoplasmic reticulum stress and mitochondrial dysfunction, inflammatory re-15 sponses and apoptosis in podocytes⁶³ and tubular cells^{64,65}. In contrast, the monoun-16 saturated fatty acids palmitoleate and oleate as well as the polyunsaturated fatty acid 17 eicosapentaenoic acid provided protective effects against palmitate-induced cell in-18 jury^{65,66}, as did overexpression of stearoyl-CoA desaturase-1 (SCD1), an enzyme that 19 converts saturated fatty acids to monounsaturated fatty acids⁶⁷. 20

Mechanistically, the monounsaturated fatty acid oleate increased fatty acid β oxidation⁶⁷, and stimulating β-oxidation of fatty acids through the AMP-activated pro tein kinase (AMPK)/acetyl-CoA carboxylase (ACC)/carnitine palmitoyltransferase
 (CPT1) axis protected podocytes from saturated fatty acid-induced injury⁶⁸ (Figure
 3b). Also, autophagy was identified to protect from saturated fatty acid palmitate-in duced mitochondrial stress as well as cytotoxicity in proximal tubule cells by eliminating
 impaired mitochondria⁶⁴.

Furthermore, cellular lipotoxicity of palmitate was associated with a poor incor-28 poration into triglycerides, in contrast to the monounsaturated fatty acid oleic acid⁶⁶. In 29 addition, oleic acid increased palmitate incorporation into triglycerides simultaneously 30 31 to reducing palmitate cytotoxicity, triggering the concept that integration of fatty acids in triglycerides can protect against fatty acid-induced cellular toxicity⁶⁶ (Figure 32 **3c**). Triglyceride formation is mediated by diglyceride acyltransferases (DGAT), which 33 integrate fatty acyl-CoA with a diglyceride to generate triglycerides. This mainly occurs 34 in the endoplasmic reticulum, but may also occur with the help of DGAT2 in growing 35 lipid droplets⁶⁹. As underlying mechanism of how triglycerides within lipid droplets may 36

form a buffer against cellular saturated fatty acid accumulation, triglycerides were 1 shown to mediate the release of monounsaturated fatty acid oleate from lipid droplets, 2 thereby preventing overproduction of toxic saturated ceramides and acyl-carnitines as 3 well as activation of the pro-inflammatory transcription factor NF-κB upon saturated 4 5 fatty acid accumulation⁷⁰. Since these findings stem from conditions of saturated fatty acid accumulation in tumor cells upon hypoxia-induced stress and associated SCD 6 inhibition⁷⁰, it remains to be clarified whether similar mechanisms contribute to triglyc-7 eride-rich lipid droplet-mediated protection from saturated fatty acid-induced kidney 8 9 cell lipotoxicity.

In addition to protective effects of monounsaturated fatty acids on kidney cells
 in relation to mitochondrial stress and cellular damage, the n-3 polyunsaturated fatty
 acid docosahexaenoic acid blocks TGFβ1-induced fibroblast activation. In line, a
 mouse model with endogenous production of n-3 polyunsaturated fatty acids from n-6
 polyunsaturated fatty acids by transgenic overexpression of n-3 fatty acid desaturase
 revealed reduced kidney fibrosis and inflammation after unilateral ureter obstruction,
 supporting a role of n-3 polyunsaturated fatty acids in protecting from kidney fibrosis⁷¹.

In summary, fatty acid subclasses contribute to mitochondrial dysfunction 17 and cellular stress in a sophisticated manner, with cellular accumulation of the 18 saturated fatty acid palmitic acid triggering mitochondrial and kidney cell dam-19 age⁶³⁻⁶⁵ in contrast to mainly protective effects of the monounsaturated fatty acid 20 21 oleic acid and the n-3 polyunsaturated fatty acids docosahexaenoic acid and eicosapentaenoic acid⁶⁵⁻⁶⁷. Studies in the last decade raised the concept that cellular 22 stress from free saturated fatty acid accumulation can be **counteracted by increased** 23 saturated fatty acid breakdown through increased β -oxidation of fatty acids, by 24 25 enhanced saturated fatty acid storage in cellular triglyceride pools driven by monounsaturated fatty acid supplementation as well as by monounsaturated 26 fatty acid release from triglyceride stores, thereby interfering with saturated fatty 27 acid-induced cytotoxic and pro-inflammatory effects (Figure 3). 28

3.2.3 Impact of high-fat diet as trigger of obesity and hyperlipidemia on kidney pathophysiology

Obesity⁷² and hyperlipidemia³⁷ are both well-known risk factors of CKD. In this context, renal lipid accumulation has also been observed in patients with obesity-related glomerulopathy⁷³. In patients with diabetic nephropathy, enhanced intracellular lipid droplet accumulation coincided with a reduced expression of genes involved in β-oxidation
of fatty acids and cholesterol efflux (ABCA1/G1), whereas receptors mediating uptake
of LDL as well as post-translationally modified LDL (e.g. oxidized LDL, acetylated LDL)
were increased⁷⁴.

Animal studies revealed that high-fat diet, as trigger of obesity and hyper-6 lipidemia, aggravates ischemia-reperfusion kidney injury⁶⁴ as well as mitochondrial 7 overload-induced CKD⁵². In line, in hypercholesterolemic mice subjected to unilateral 8 ureteral obstruction, PCSK9 vaccination reduced circulating cholesterol levels as well 9 as lipid accumulation and fibrosis in kidney, in parallel to an increased expression of 10 genes involved in fatty acid β -oxidation⁷⁵. Furthermore, high-fat diet *per* se induced 11 lipid vacuole formation with accumulation of cholesteryl esters and phospholipids in 12 kidney^{64,76} and triggered renal dysfunction associated with kidney inflammation and 13 fibrosis^{64,77}. Mechanistically, high-fat diet reduced the phosphorylation of the low en-14 ergy sensor AMPK and acetyl-CoA carboxylase as important events in mitochondrial 15 fatty acid β -oxidation^{76,78} and lowered the expression of genes regulating fatty acid β -16 17 oxidation⁷⁸. Activation of AMPK could reduce renal lipid accumulation as well as renal inflammation in hyperlipidemia-induced kidney disease⁷⁷. Also, in line with autophagy 18 as protective mechanism against palmitate-induced damage in kidney cells in vitro, 19 20 autophagy-deficiency through genetic knockout of Autophagy-Related Gene 5 enabled high-fat diet to trigger mitochondrial damage and aggravated high-fat diet-induced re-21 nal inflammation as well as fibrosis⁶⁴. In line, enlarged lysosomes with lipid accumula-22 tion and signs of impaired autophagic flux were revealed in kidneys of obese patients⁶⁴. 23

Combined, hyperlipidemia as risk factor of CKD genesis triggers kidney lipid
 accumulation, inflammation and fibrosis.

In relation to inflammation, a key role for the NLRP3 inflammasome as pro-in-26 flammatory protein complex controlling the maturation of cytokine IL-1β, was identified 27 in high-fat diet-induced lipid accumulation, inflammation and fibrosis in the kidney⁷⁹. 28 Furthermore, an aggravating effect of chronic inflammation on high-fat diet-in-29 30 duced lipid deposition and kidney damage was identified in mice, with casein-induced inflammatory stress imposed on high-fat diet triggering kidney function decline, 31 as compared to high-fat diet alone where such effect was absent⁸⁰. Mechanistically, 32 inflammatory stress was shown to increase expression of CD36 and intracellular fatty 33

- 1 acid and triglyceride accumulation through CD36 in vitro, along with increased oxida-
- 2 tive stress in kidney dependent on CD36⁸⁰. All elements combined, high-fat diet in
- 3 mice as trigger of hyperlipidemia and obesity triggers intracellular lipid accumu-
- 4 lation and damage in the kidney, with a key role for inflammation.

4. LIPID METABOLISM AND CARDIOVASCULAR RISK IN CKD

4.1 Lipoprotein particles, cholesterol and triglycerides & CVD in CKD

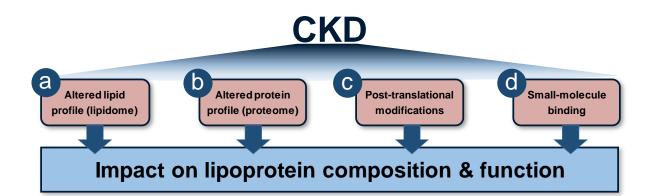
In the general population, low HDL-C, high triglyceride and non-HDL-C levels as well 4 as high LDL-C are associated with increased cardiovascular risk, as we recently com-5 prehensively discussed¹. However, this does not uniformly hold true for CKD pa-6 tients. First, higher non-HDL-C over HDL-C¹⁴ as well as higher cholesterol in triglyc-7 eride-rich lipoproteins^{81,82} do correlate with atherosclerotic CVD in CKD patients, but 8 are - in part - inversely associated with non-atherosclerotic CVD⁸² as well as total car-9 diovascular risk⁸³. Second, in CKD, higher HDL-C levels are not associated with im-10 proved cardiovascular survival⁸⁴, in contrast to non-CKD patients. 11

Not only lipoprotein levels are altered in CKD patients, CKD also affects lipopro-12 tein composition and may in this way impact on lipoprotein function in relation to in-13 flammation and cardiovascular risk (Figure 4). Lipoproteins serve as carriers of lipids, 14 but also of **small molecules** such as vitamins, hormones, uremic retention solutes and 15 microRNA⁸⁵. The uremic milieu may specifically enhance this propensity for binding 16 given the myriad of solutes that is accumulating in CKD due to the decrease in kidney 17 filtration function⁸⁶. Furthermore, CKD may underlay compositional changes in the 18 proteome of lipoprotein particles as well as may trigger irreversible post-transla-19 tional modifications of their proteome⁸⁷. Combined, these modifications of lipo-20 21 proteins may highly impact on pathophysiological processes as well as cardiovascular risk in CKD (Figure 4). 22

Furthermore, the **increased importance of non-atherosclerotic CVD in CKD patients** as well as potential "reverse causality effects" driven by CKD-associated inflammation have been suggested to contribute to the altered associations of lipoproteins with cardiovascular risk in CKD patients compared to the general population>.

27

Below, each of these aspects is discussed in more detail.



2

1

3 Figure 4. Impact of CKD on lipoprotein composition and function. (a) CKD impacts on 4 lipoproteins through changes in the lipoprotein lipidome, with serum lipid profiles from patients with CKD considerably altered compared to healthy subjects. Serum levels of HDL-C are de-5 creased and both the triglyceride concentration and the content of triglyceride-rich lipoprotein 6 particles are increased in CKD. (b) Mass spectrometric analyses have provided new insights 7 8 into the proteome of lipoproteins, suggesting an impact of lipoproteins composition on inflam-9 matory processes. (c) A large number proteins within the lipoprotein particles are post-trans-10 lationally modified in CKD, and these modifications have profound implications on lipoprotein function. (d) Also, the accumulation of small metabolic waste and uremic retention molecules, 11 such as SDMA, permanently alters the structure and function of lipoproteins in CKD patients. 12 Altogether, CKD may impact on lipoprotein function and promote inflammation and increased 13 cardiovascular risk. 14

15

16 4.1.1 High-density lipoprotein

17 <u>Relation to cardiovascular risk:</u> HDL from healthy subjects has essential cardioprotec-18 tive functions through reverse cholesterol transport, mediated by its main apolipopro-19 tein ApoA-I in cooperation with the HDL-bound enzyme LCAT². HDL also presents 20 strong anti-oxidative properties through ApoA-I, LCAT and paraoxonase 1 (PON1)⁸⁸. 21 Combined, these beneficial functions may underlie the inverse relation of serum HDL-22 C levels with cardiovascular risk, as observed in the general population and recently 23 reviewed in detail¹.

However, in CKD, higher HDL-C levels are not associated with reduced cardiovascular risk^{84,89}. Also, whereas in the general population, cholesterol efflux capacity has been identified as an even better biomarker of cardiovascular health compared to HDL-C concentration⁹⁰, cholesterol efflux capacity could not predict cardiovascular risk in CKD^{91,92}. This may be explained by compositional changes in HDL of CKD patients, negatively impacting on HDL's cardioprotective function, as

discussed in more detail below. Also, the increased importance of non-atheroscle-1 rotic CVD in CKD compared to non-CKD patients, especially at advanced CKD 2 stages, may contribute to the altered relation of HDL with cardiovascular outcome. The 3 latter suggests that in terms of cardiovascular risk analysis in relation to HDL-C levels 4 and function (cholesterol efflux capacity), one should discriminate between lipid-5 driven, atherosclerotic CVD versus non-atherosclerotic CVD. In this context, when 6 analyzing risk factors specifically for atherosclerotic cardiovascular risk (i.e. myocardial 7 infarction and stroke), the prospective CRIC study of pre-dialysis CKD patients did re-8 cently identify an association of low HDL-C with increased risk of atherosclerotic 9 CVD⁸¹. Also, the 'Dallas Heart Study' detected an inverse association of HDL-particle 10 numbers with atherosclerotic CVD in a CKD cohort without prevalent CVD⁹³. However, 11 paradoxically, the same study identified cholesterol efflux capacity to be positively cor-12 13 related with risk of atherosclerotic CVD, suggesting that in CKD patients, cholesterol efflux capacity as measure of HDL function may not be as useful for atherosclerotic 14 CVD risk prediction as in the general population⁹³. Although serum cholesterol efflux 15 capacity was found to be reduced in patients on hemodialysis⁸⁸, a slightly increased 16 serum cholesterol efflux capacity was identified in earlier stages of CKD as well as in 17 CKD stage 5 without dialysis⁹⁴, with underlying mechanisms remaining unclear. On the 18 other hand, serum of predialysis patients displayed a reduced capacity of cholesterol 19 delivery to hepatocytes. This suggests that impaired cholesterol delivery to the 20 liver rather than altered cholesterol efflux capacity may reduce reverse choles-21 terol transport in these patients⁹⁴. As one potential underlying mechanism, oxidized 22 albumin as present in CKD patients, was shown to inhibit HDL binding to scavenger 23 receptor class B, type 1 as major HDL receptor in hepatocytes⁹⁵. Further studies would 24 be welcome to evaluate in parallel HDL-C, serum cholesterol efflux capacity and serum 25 capacity of cholesterol delivery to the liver in relation to atherosclerotic CVD vs. non-26 atherosclerotic CVD risk over different stages of CKD. Also, whether impaired hepatic 27 28 clearance of HDL in CKD might facilitate compositional changes of HDL and thereby contribute to an altered HDL function and thus association with cardiovascular risk, 29 remains to be further investigated. 30

31

<u>Compositional changes of HDL in CKD</u> (*Figure 5*): The altered relation of HDL with
 cardiovascular risk in CKD compared to non-CKD patients has been linked to com positional changes in HDL:

i) Small-molecule binding: Small-molecule metabolic waste and uremic re-1 tention solutes may modulate lipoprotein structure and enhance cardiovascular 2 toxicity of lipoproteins in CKD patients. For example, asymmetric dimethylarginine 3 (ADMA) and its structural isomer symmetric dimethylarginine (SDMA) have been 4 correlated with cardiovascular risk factors, negative cardiovascular outcomes and re-5 nal dysfunction⁸⁶. SDMA has also been identified to accumulate in HDL from CKD pa-6 tients. This modifies the HDL particle to mimic a damage-associated molecular pattern 7 that activates Toll-like receptor-2, linking abnormal HDL to innate immunity, endothelial 8 injury and dysfunction, oxidative stress as well as hypertension⁹⁶. In contrast to a 9 missing inverse association of HDL-C concentration with cardiovascular risk in 10 CKD patients, levels of serum SDMA as well as SDMA incorporation into HDL 11 could predict cardiovascular risk in CKD⁹⁷. A potential accumulation of other meta-12 13 bolic waste and uremic retention solutes within lipoprotein particles in CKD has to our knowledge not vet been investigated. Nonetheless, these solutes impact on cellular 14 15 processes involved in CVD. For example, the protein-bound uremic toxin indoxyl sulfate induces leukocyte activation, impairs cholesterol efflux to HDL and is associated 16 with increased aortic calcification, pulse wave velocity and overall cardiovascular mor-17 tality in CKD patients⁹⁸. Hence, although technically challenging, it would be interesting 18 to examine a potential accumulation of protein-bound uremic retention solutes in lipo-19 protein particles in CKD as well as the effects of this potential process on lipoprotein 20 function in relation to inflammation and cardiovascular health. 21

ii) Altered proteome: In addition to small molecule binding, compositional
 changes in the proteome of HDL particles have been observed to contribute to
 a lower protective function of HDL in different inflammatory diseases, including
 CVD¹ and CKD⁹⁹⁻¹⁰¹. In relation to HDL-binding protective proteins, patients with kidney
 failure display markedly reduced circulating levels of ApoA-I, LCAT and PON in par allel to a reduced anti-oxidant function of HDL¹⁰².

Furthermore, quantification of HDL-associated proteins in CKD patients using proteomic approaches has provided evidence for an association between declining kidney function and an altered HDL protein profile^{100,101,103,104}, with proteins with antioxidative properties like **ApoA-I**, **ApoM and PON1** found to be less associated with HDL in dialysis patients compared to healthy subjects^{100,101}. On the other hand, the amount of **serum amyloid A1**^{99-101,105}, **apolipoprotein A-IV (ApoA-IV)**^{100,101} **and apolipoprotein C-III (ApoC-III)**^{100,101} are increased in HDL isolated from patients with CKD stage 5, with levels of HDL-bound serum amyloid A1^{100,105} and ApoC-III^{100,106}
 being associated with reduced HDL cholesterol efflux capacity.

Serum amyloid A1 proteins are the most prominent agents of the acute phase 3 response. They can displace ApoA-I from the HDL particle to become themselves a 4 major apolipoprotein of HDL and thereby influence the structural remodeling and func-5 tions of HDL¹⁰⁷. For example, serum amyloid A incorporation into HDL reduces cho-6 lesterol efflux capacity¹⁰⁸ and transforms HDL from an anti-inflammatory to a pro-in-7 flammatory lipoprotein particle⁹⁹. Mechanistically, serum amyloid A-enriched HDL trig-8 gers pro-inflammatory NF- κ B signaling through Toll-like receptors 2 and 4¹⁰⁹. HDL-9 bound serum amyloid A is associated with increased cardiovascular risk in the general 10 population as well as in patients with CVD¹¹⁰, in diabetic patients on hemodialysis¹¹¹ 11 and in patients with CKD stage 2-4¹¹². In the latter group, the association of HDL-as-12 sociated serum amyloid A with cardiovascular risk was lost after adjustment for C-13 reactive protein as pro-inflammatory marker, supporting an essential pro-inflammatory 14 role of serum amyloid A-enriched HDL¹¹². 15

ApoC-III is not only increased in plasma of CKD patients²⁶, but also in their HDL particles^{100,101}. ApoC-III is well-known to inhibit both lipoprotein and hepatic lipase activities, as well as uptake of triglyceride-rich lipoproteins by hepatic lipoprotein receptors¹¹³, which underlies its association with hypertriglyceridemia¹¹³. Beyond this role, increasing evidence also points to a correlation between ApoC-III and HDL dysfunction¹¹⁴, such as reduced cholesterol efflux capacity¹⁰⁶, which makes modification of ApoC-III metabolism a promising therapeutic target.

ApoA-IV as the third most abundant HDL-associated lipoprotein has a benefi-23 cial effect in numerous processes involved in vascular damage such as lipid metabo-24 lism, atherogenesis, platelet aggregation, thrombosis and glucose intolerance, as re-25 cently reviewed¹¹⁵. ApoA-IV protects lipoproteins from oxidative stress and modulates 26 ApoC-II-mediated activation of lipoprotein lipase¹¹⁶. Also, by activating LCAT¹¹⁵, ApoA-27 IV acts as an anti-atherogenic factor. Given the protective role against atherosclerosis 28 29 and diabetes, apolipoprotein A-IV might become a new therapeutic target for the treatment of these comorbidities in CKD¹¹⁵. 30

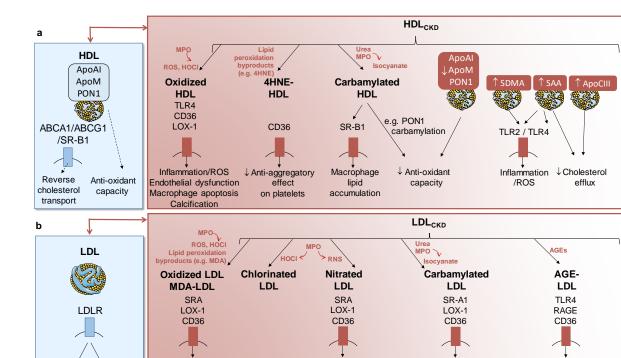
31 <u>iii) Post-translation modifications</u>: In pathological contexts like CVD or CKD, 32 HDL can undergo significant **post-translational modifications** via carbamyla-

tion^{117,118}, oxidation¹¹⁹, glycation¹²⁰, nitration^{121,122}, chlorination¹²¹ and homocysteinyl-1 ation¹²³, resulting in a loss of protective properties, as recently discussed in detail 2 for HDL in the context of CVD¹. Post-translationally modified HDL is no more pro-3 moting endothelial regeneration, but instead triggers dysfunctions, e.g. by inhibiting 4 endothelial cell migration¹²⁴ and reducing anti-inflammatory mechanisms¹²⁵. Also, it 5 has decreased capacity to remove cholesterol from macrophages within arterial walls 6 7 for transport to the liver¹¹⁸. For example, **oxidized HDL** can induce oxidative stress and inflammatory signaling through toll-like receptor 4¹²⁶, CD36¹²⁷ as well as LOX-1¹²⁸ 8 and triggers endothelial dysfunction, macrophage apoptosis¹²⁶ and smooth muscle cal-9 cification¹²⁹. In CKD patients stage 5D, high levels of oxidized HDL were associated 10 with increased cardiovascular mortality and events, especially with simultaneously in-11 creased levels of the pro-inflammatory cytokine interleukin-6¹³⁰. Furthermore, in hemo-12 13 dialysis patients, HDL is carbonylated by 4-hydroxynonenal groups derived from n-6 fatty acid peroxidation, which through CD36 receptor binding severely reduces the anti-14 aggregatory effect of HDL on platelets¹³¹. In addition, HDL and its anti-oxidant constit-15 uent PON1 are increasingly carbamylated in patients in CKD stage 5, in line with re-16 duced anti-oxidant capacity of HDL in these patients^{124,132}. Apo-AI as component of 17 HDL can also be carbamylated, triggering cholesterol accumulation in macrophages 18 through scavenger receptor-B1¹¹⁸. In CKD patients with type 2 diabetes, plasma car-19 bamylated HDL but not carbamylated LDL was independently associated with progres-20 sion of CKD¹¹⁷. Carbamylation is a non-enzymatic post-translational modification in-21 duced upon exposure of free amino groups to urea-derived cyanate¹³³ or reactive cy-22 anate/isocyanate generated by the leukocyte heme peroxidase myeloperoxidase¹³⁴. 23 This leads to the formation of epsilon-amino-carbamoyl-lysine (homocitrulline), the 24 most abundant carbamylation-derived product¹³⁵. Protein carbamylation is increasingly 25 considered as a contributing factor to CVD, also in CKD patients, and could predict 26 cardiovascular risk¹³⁴ and mortality in CKD patients¹³⁶. 27

All combined, these findings suggest that modified HDL contributes to increased cardiovascular risk in CKD patients (Figure 5). As we recently summarized¹, modifications and dysfunctionality of HDL have also been revealed in patients with CVD. This may explain why neither genetic nor pharmacological interventions increasing HDL cholesterol levels could reduce cardiovascular risk in the general population¹³⁷, and support the concept that HDL composition and functionality rather than HDL-C levels determine cardiovascular risk^{90,138}. For example, nicotinic acid

increased HDL-C by 15-20% without reducing cardiovascular events in high risk statin-1 treated patients with low LDL-C. No difference was observed between patients without 2 CKD vs. CKD patients in stage 3a and beyond, the latter group comprising 14% of 3 25,673 patients treated with nicotinic acid or placebo in HPS2-THRIVE ('Treatment of 4 HDL to Reduce the Incidence of Vascular Events')¹³⁹. With both reverse cholesterol 5 transport as well as the anti-inflammatory effect of HDL impaired in CKD, novel ther-6 7 apies should target HDL function rather than HDL-C levels. In this context, ApoA1 peptide mimetics are already being tested in clinical trials, aiming at increased reverse 8 cholesterol transport and reduced cardiovascular risk, as discussed in more detail by 9 Ferro et al.²². The 'CSL112_2001' phase 2 clinical trial recently demonstrated accepta-10 ble renal safety of ApoA-I mimetic CSL112 in patients with CKD stage 3 and prior 11 myocardial infarction¹⁴⁰, supporting a follow-up trial to examine effects on cardiovas-12 13 cular outcome. Furthermore, with the negative impact of lipoprotein modifications on lipoprotein function increasingly being recognized, targeting protein modifications 14 15 might be an interesting alternative strategy to explore. For example, amino acid supplementation could reduce carbamylation in hemodialysis patients¹⁴¹, but the clinical 16 benefit remains to be investigated. 17

18



Foam cell

formation

Inflammation/ROS

Foam cell formation

Endothelial dysfunction

Inflammation

NF-κB

Inflammation/ROS

Foam cell formation

Endothelial dysfunction

2

Cholesterol Cholesterol

to VI DI

removal

3 Figure 5. Impact of compositional changes of (a) HDL and (b) LDL in CKD on receptor signaling and cellular effects. Upon declining kidney function, increased oxidation stress 4 5 and inflammatory conditions as well as the accumulation of uremic retention solutes (e.g. 6 SDMA) alter the molecular composition of high-density lipoprotein (HDL) by post-translational modifications. Also, pro-inflammatory proteins (e.g. SAA) accumulate in HDL of CKD 7 patients, whereas the content of protective molecules (e.g. ApoA-I, ApoM, PON1) is reduced. 8 Combined, this reduces the anti-oxidant capacity of HDL and through altered receptor binding, 9 transforms HDL from a cardioprotective into a pro-inflammatory lipoprotein particle. Also low-10 density lipoprotein (LDL) is post-translationally modified in CKD, further increasing its pro-11 inflammatory character in CKD. ABCA1 = ATP binding cassette subfamily A member 1; 12 ABCG1= ATP binding cassette subfamily G member 1; AGE = advanced glycosylation end 13 14 product; ApoA-I = apolipoproteinA-I; ApoC-III = apolipoproteinC-III; ApoM = apolipoproteinM; HOCI = hypochlorous acid; LDLR = LDL receptor; LOX-1 = lectin-like-oxidized LDL receptor-15 1; MDA = malondialdehyde; MPO = myeloperoxidase; PON = paraoxonase; RAGE = receptor 16 for advanced glycosylation end products; RNS = reactive nitrogen species; ROS = reactive 17 oxygen species; SAA = serum amyloid A; SDMA = symmetric dimethylarginine; SRA = scav-18 19 enger receptor A; SR-B1 = scavenger receptor-B1; TLR = toll-like receptor; VLDL = very-low-20 density lipoprotein.

21 4.1.2 Low-density lipoprotein

<u>Relation to cardiovascular risk:</u> LDL particles and their oxidized form (oxLDL) are main
 drivers of lipid accumulation and inflammation in the vascular wall, thereby triggering
 atherosclerosis initiation and progression¹. Small dense LDL particles have been

shown to be even more atherogenic than larger subfractions of LDL, which mechanistically can be linked to their higher circulation time as well as high capacity to infiltrate
into the vessel wall. In line, in the general population, levels of small dense LDL-C as
well as of LDL-C have been associated with increased risk of coronary heart disease¹⁴².

6 Recently, patients with CKD stage 3-5D without prior history of myocardial in-7 farction or coronary revascularization within the SHARP cohort revealed an association 8 of high LDL-C with increased risk of atherosclerotic CVD⁸². Combination therapy with 9 simvastatin and ezetimibe, which significantly lowered LDL-C, reduced the risk of ma-10 jor atherosclerotic events in this trial^{82,143}.

11 On the other hand, an association of LDL-C with cardiovascular risk could not be observed in the 'Cardiovascular Health Study'¹⁴⁴, the 'Modification of Diet in Renal 12 Disease² (MDRD) study¹⁴⁵, or the CRIC study of non-dialysis CKD patients in relation 13 to risk of atherosclerotic CVD⁸¹. Nonetheless, in the latter study, discrimination be-14 tween patients in CKD stage 3b-4 vs. CKD stage 3a or earlier did suggest that Apo-B 15 levels as marker of the number of all proatherogenic lipoprotein particles (including 16 LDL-C as well as small dense LDL-C) may increase atherosclerotic CVD risk in earlier 17 but not in more advanced CKD stage⁸¹. Based on these findings, drug-mediated LDL-18 C lowering may mainly be beneficial in reducing atherosclerotic CVD risk in early 19 CKD stage 2-3¹⁴⁶. This is in line with findings of a 2016 meta-analysis of 28 random-20 ized trials by the 'Cholesterol Treatment Trialists' (CTT)¹⁴⁷ and may be the conse-21 quence of increased competing risk for non-vascular death with declining kidney func-22 tion. 23

24 Also, in a recent post-hoc analysis of the SHARP study, a linear correlation of LDL-C and risk of cardiovascular events was identified only for atherosclerotic CVD, 25 whereas no correlation or even an inverse correlation was revealed between LDL-C 26 27 and non-atherosclerotic CVD, and this mainly in CKD patients with high systemic inflammation⁸². This again highlights the importance of discriminating between ath-28 erosclerotic and non-atherosclerotic CVD in CKD patients in clinical outcome 29 analyses as well as underlines the need to investigate in more detail CKD-spe-30 cific mechanisms underlying CVD, as discussed in more detail elsewhere¹⁰. Also, 31 these findings complement a previously observed inverse association of LDL-C with 32 total and cardiovascular mortality in CKD patients stage 2-5. Of note, this association 33

was lost after adjustment for the malnutrition-inflammation-cachexia syndrome¹⁴⁸. An
association of low LDL-C with high mortality risk has also already been reported in
earlier studies of dialysis patients, and this specifically in patients with high systemic
inflammation or protein-energy wasting¹⁴⁹.

5 All combined, these findings suggest that inflammation- and/or malnutri-6 tion-driven effects on cholesterol homeostasis underlie the inverse LDL-C-mor-7 tality association in CKD, rather than LDL-C reduction representing a causal fac-8 tor for mortality in CKD. Mouse studies have revealed that inflammation can indeed 9 drive alterations in cholesterol production as well as cholesterol uptake and redistribu-10 tion among circulation and tissues, including kidney, as discussed in more detail pre-11 viously¹⁵⁰.

Post-translational modifications of LDL in CKD (Figure 5): Like HDL, also LDL 12 is modified post-translationally in the context of CKD and CVD. Oxidized LDL is the 13 best-known post-translationally modified LDL and recognized as an important pro-in-14 flammatory and atherogenic factor¹. Within the apoB100 protein of LDL, multiple oxi-15 dative modifications are induced upon incubation with reactive oxygen species, e.g. as 16 triggered by myeloperoxidase, and these LDL-oxidations could also be identified in 17 CKD patients on hemodialysis¹⁵¹. In contrast to the binding of unmodified LDL to the 18 LDL receptor, cellular uptake of oxidized LDL occurs through different scavenger re-19 ceptors such as scavenger receptor-A1/A2, lectin-like-oxidized LDL receptor-1 (LOX-20 1) and CD36¹⁵², the latter in part dependent on dietary fatty acid binding¹⁵³. This trig-21 gers macrophage foam cell formation, pro-inflammatory responses in vascular and 22 blood cells¹ as well as endothelial stiffening¹⁵⁴. Also, oxidized LDL in plasma and ca-23 rotid plaques is associated with plaque instability and is a strong predictor for acute 24 cardiovascular events¹⁵⁵. Oxidative modifications of LDL are increased in CKD stage 25 26 5 compared to earlier CKD stage, as shown in children and young adults¹⁵⁶. Furthermore, the ratio of oxidized LDL to oxidized LDL-directed auto-antibodies has been 27 identified as biomarker of carotid atherosclerosis in dialysis patients¹⁵⁷. 28

A special type of oxidative LDL modification entails the addition of malondialdehyde (MDA) as lipid peroxidation by-product to ApoB100. **MDA-modified LDL** levels could predict aortic stiffness in patients on hemodialysis¹⁵⁸.

Furthermore, high levels of **carbamylated LDL** have been quantified in plasma of CKD patients compared to subjects with normal kidney function¹⁵⁹. Carbamylation

of LDL highly reduces its binding affinity to LDL receptor but instead mediates its up-1 take by scavenger receptor-A1¹³⁴, LOX-1 and CD36¹⁶⁰ (Figure 5). This triggers pro-2 atherogenic mechanisms, such as macrophage foam cell formation¹³⁴. Carbamylated 3 LDL also induces endothelial cell injury¹⁵⁹ and endothelial reactive oxygen species pro-4 duction through LOX-1 activation, leading to endothelial nitric oxide synthase uncou-5 pling¹⁶¹. In line, increasing urea concentrations in a CKD mouse model enhanced LDL 6 carbamylation as well as atherosclerosis¹⁶². The level of LDL carbamylation could also 7 predict cardiovascular outcome in CKD patients¹⁶¹. 8

9 Furthermore, myeloperoxidase-catalyzed reactive nitrogen species trigger LDL modification by **nitration** of ApoB100, as also reported in patients with CKD¹²². LDL 10 11 nitration interferes with LDL receptor binding and instead triggers pro-atherogenic macrophage foam cell formation through CD36¹⁶³, LOX-1 and scavenger receptor A¹⁶⁴. 12 Also LDL-chlorination on ApoB100 can be catalyzed by myeloperoxidase¹⁵¹, with pro-13 tein tyrosine-chlorination higher in CKD stage 5 and 5D compared to controls, as well 14 as in CKD patients with coronary artery disease as compared to those without^{165,166}. 15 Finally, patients in CKD stage 5 display increased levels of advanced glycosylation end 16 products (AGEs) as well as **AGE-modified LDL**¹⁶⁷, which triggers pro-inflammatory 17 signaling via Toll-like receptor 4, CD36 and RAGE¹⁶⁸. 18

In summary, LDL displays multiple molecular modifications in CKD, with
 modified LDL showing reduced LDL receptor binding as required for lipid re moval through the liver. Instead, modified LDL binds to scavenger receptors,
 further enhancing the pro-inflammatory character of LDL in CKD (Figure 5).

23 **4.1.3 Triglycerides and very low-density lipoprotein**

In the general population, epidemiological and genetic studies revealed increased plasma levels of triglycerides as well as of triglyceride-rich lipoproteins, their remnant particles and non-HDL-C, to be associated with increased risk of atherosclerotic CVD¹. In patients with acute coronary syndrome on statin treatment, fasting triglyceride levels were shown to be predictive for residual cardiovascular risk¹⁶⁹, although it remains to be further clarified whether reduction of triglyceride levels in statin-treated patients can indeed reduce this residual risk¹⁷⁰.

In CKD patients, several studies could previously not detect an association of triglycerides with all-cause mortality^{144,145} or cardiovascular mortality¹⁴⁸, and neither was an association of non-HDL-C with cardiovascular mortality detected in the MDRD study¹⁴⁵.

On the other hand, the 'Atherosclerosis Risk in Communities' (ARIC) study did reveal 1 increased risk of coronary heart disease in CKD patients with an increased ratio of 2 non-HDL-C to HDL-C¹⁴. Furthermore, high levels of VLDL-C were associated with in-3 creased risk of atherosclerotic CVD, as revealed in the CRIC cohort of non-dialysis 4 CKD patients⁸¹. Also, in the SHARP study, an increased ratio of triglyceride to HDL-C 5 as well as increased levels of cholesterol within triglyceride-rich lipoproteins (i.e. total 6 cholesterol minus LDL-C minus HDL-C) were associated with increased risk of ather-7 osclerotic CVD, and this was not affected by a combination therapy of simvastatin plus 8 ezetimibe⁸². Combined, this suggests a potential benefit of targeting triglyceride-9 rich lipoproteins to reduce atherosclerotic CVD in CKD patients, as has been 10 suggested in the general population¹⁶⁹ and recently discussed by us in detail¹. 11 When comparing CKD stages, an association of high triglycerides with increased car-12 13 diovascular risk was detected in CKD stage 3-4, but this association declined with reducing kidney function, being absent in CDK stage 5¹⁷¹. Instead, a lower triglycer-14 15 ide/HDL-C ratio as well as lower non-HDL-C and non-HDL/HDL-C ratio did associate with increased cardiovascular and overall mortality in patients on hemodialysis⁸³. Also, 16 in contrast to their positive association to atherosclerotic CVD, triglycerides, triglyc-17 eride/HDL-C ratio and triglyceride-rich lipoprotein-cholesterol were inversely as-18 sociated with risk of non-atherosclerotic CVD in CKD patients⁸². As also described 19 for LDL-C, this inverse association between triglycerides and non-atherosclerotic CVD 20 risk was especially observed in patients with high systemic inflammation as measured 21 by CRP levels⁸², suggesting triglycerides and triglyceride-rich lipoproteins as 22 non-causal biomarkers of increased non-atherosclerotic CVD in CKD⁸². 23

24 4.1.4 Lipoprotein(a)

The efficacy of cardiovascular risk reduction by Lp(a) lowering remains to be proven. 25 While lifestyle intervention or statin therapy fail to reduce Lp(a) concentrations, other 26 lipid lowering drugs including PCSK-9 inhibitors or nicotinic acid reduce Lp(a) concen-27 trations by approximately 20% in addition to their cholesterol lowering potential. Post-28 hoc analysis of the ODESSEY Outcomes ('Evaluation of Cardiovascular Outcomes 29 After an Acute Coronary Syndrome During Treatment With Alirocumab') trial sug-30 gested alirocumab-dependent Lp(a) lowering to reduce cardiovascular risk in patients 31 with high baseline Lp(a) levels independent of LDL-C¹⁷². This was similarly reported 32 for evolucumab based on the FOURIER trial¹⁷³. Still, none of both trials specifically 33

included patients with high baseline Lp(a) levels or had Lp(a) as primary outcome, and 1 it remains challenging to attribute treatment effects specifically to Lp(a) reduction. In 2 contrast, nicotinic acid failed to lower cardiovascular risk in AIM-HIGH ('Atherothrom-3 bosis Intervention in Metabolic Syndrome with Low HDL/High Triglycerides: Impact on 4 Global Health Outcomes') and HPS2-THRIVE ('Heart Protection Study 2-Treatment 5 of HDL to Reduce the Incidence of Vascular Events') despite an Lp(a) lowering poten-6 tial comparable to that of PCSK9 inhibition^{139,174-176}. A new antisense oligonucleotide 7 technology (AKCEA-APO(a)-LRx) does now allow specific targeting of LPA messenger 8 RNA, leading to Lp(a) lowering by approximately 80% while leaving LDL-C unaffected. 9 Cardiovascular benefit of this intervention is currently evaluated in patients with high 10 $(\geq 70 \text{ mg/dL}) \text{ Lp}(a)$ levels and existing CVD in the Lp(a)HORIZON trial ('Assessing the 11 Impact of Lp(a) Lowering With TQJ230 on Major Cardiovascular Events in Patients 12 13 With CVD')¹⁷⁷. This trial will finally allow to decipher the relevance of Lp(a) lowering for cardiovascular risk reduction. However, patients with significant kidney disease will be 14 15 excluded.

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17 4.2 Free fatty acids & CVD in CKD

Multiple studies have associated saturated fatty acids (e.g. palmitate) with pro-18 inflammatory effects, whereas anti-inflammatory functions were revealed for n-19 3 polyunsaturated fatty acids (as e.g. for the marine-derived docosahexaenoic 20 acid and eicosapentaenoic acid) (Figure 3)¹. Furthermore, eicosanoids as deriva-21 tives of mainly the n-6 polyunsaturated fatty acid arachidonic acid have been inten-22 23 sively studied in relation to inflammation and CVD, with pro-inflammatory roles for e.g. leukotrienes and thromboxanes¹⁷⁸. In contrast, the fatty acid-derived class of "special-24 ized pro-resolving mediators" (SPMs) are strong anti-inflammatory molecules that are 25 increasingly being recognized as potential therapeutic targets in relation to CVD. Here, 26 27 the n-3 polyunsaturated fatty acids eicosapentaenoic acid and docosahexaenoic acid have been linked to the production of the SPM subclasses of protectins, maresins and 28 29 resolvins, whereas n-6 polyunsaturated fatty acids (such as arachidonic acid) give rise to the SPM subclass lipoxins¹ (Figure 3). In conditions of acute inflammation, leuko-30 31 cytes, platelets as well as the vasculature produce these specialized pro-resolving mediators to counteract inflammation and initiate inflammation resolution¹⁷⁹. The role of 32

these lipid mediators in inflammation¹⁸⁰ and CVD¹⁸¹ as well as in diabetes-related cardio- as well as reno-vascular complications¹⁸² was recently discussed in detail elsewhere.

Based on the anti-inflammatory properties of n-3 polyunsaturated fatty acids, 4 many studies have investigated potential cardiovascular health benefits of increased 5 serum levels as well as diet supplementation with these fatty acids¹. As revealed by 6 studies within the cardiovascular field, serum levels of the n-3 polyunsaturated 7 fatty acids eicosapentaenoic acid and docosahexaenoic acid inversely correlate 8 with cardiovascular events¹⁸³. A recent meta-analysis of 86 randomized controlled 9 trials of effects of n-3 fatty acids in relation to CVD revealed that n-3 polyunsaturated 10 11 fatty acid increase had only little to no effect on all-cause and cardiovascular mortality, although it could slightly reduce events and mortality related to coronary heart dis-12 ease¹⁸⁴. On the other hand, the REDUCE-IT ('Reduction of Cardiovascular Events 13 With Eicosapentaenoic Acid Intervention²) trial¹⁸⁵ and a meta-analysis¹⁸⁶ revealed high 14 dose eicosapentaenoic acid supplementation to provide cardiovascular protec-15 tion in patients with high cardiovascular risk. Also the American Heart Association 16 recently concluded that long-chain n-3 polyunsaturated fatty acids offer a health benefit 17 with regards to risk of cardiac death, coronary heart disease and ischemic stroke, in 18 line with their "2020 Impact Goals" to integrate seafood within a healthy diet pattern¹⁸⁷. 19 Among the n-6 polyunsaturated fatty acids, **linoleic acid** was shown to be **inversely** 20 associated with cardiovascular risk¹⁸⁸. 21

In the same line, n-3 and n-6 polyunsaturated fatty acids have been investigated 22 for potential beneficial effects in CKD patients. In hemodialysis patients an inverse 23 24 correlation has been shown between the ratio of n-3 polyunsaturated fatty acids docosahexaenoic acid and eicosapentaenoic acid vs. n-6 polyunsaturated fatty 25 acid arachidonic acid and cardiovascular risk¹⁸⁹ as well as between n-3 polyun-26 saturated fatty acid levels and risk of sudden cardiac death¹⁹⁰. Furthermore, meta-27 analyses concluded that n-3 polyunsaturated fatty acid supplementation to CKD stage 28 5D patients reduce CRP levels¹⁹¹, cardiovascular events¹⁹² and cardiovascular but not 29 total mortality¹⁹³. 30

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1 5. CONCLUSION

Patients with CKD suffer from advanced atherosclerotic CVD and although 2 most patients do not display increased LDL-C levels, CKD predestines them as 3 high-cardiovascular risk patients and justifies intensive LDL-C-lowering to de-4 crease risk of atherosclerotic cardiovascular events¹⁹⁴. Lifestyle measures such as ex-5 ercise and appropriate diet are simple and cost-effective interventions with a correcting 6 impact on dyslipidemia¹⁹⁵. However, such measures proved insufficient for lipids 7 and/or lipoproteins lowering in high-risk patients, explaining the considerable efforts in 8 9 correcting dyslipidemia by pharmacological interventions, as recently reviewed extensivelv^{1,22}. 10

11 A 2013 meta-analysis including 31 trials with 48,429 CKD patients found statin treatment to provide 23% relative risk reduction for major cardiovascular events and 12 9% relative risk reduction for cardiovascular or all-cause mortality¹⁹⁶. In line, KDIGO 13 14 recommends all patients above 50 years of age in CKD stages 3-5 not on dialysis to be treated with a statin or statin/ezetimibe combination, independent of baseline LDL-15 C¹⁹⁷. 'European Society of Cardiology' (ESC) guidelines recommend patients with 16 CKD stage 3 to be treated to an LDL-C target < 70 mg/dl (ApoB < 80 mg/dl; non-HDL-17 C < 100 mg/dl), while patients with CKD stage 4-5 are to reach LDL-C < 55 mg/dl 18 (ApoB < 65 mg/dl; non-HDL-C < 85 mg/dl) in conjunction with a reduction of baseline 19 LDL-C by at least 50%³. However, benefit of starting statin therapy in CKD was re-20 vealed to decline with deterioration of kidney function and remained absent in CKD 21 stage 5D patients¹⁴⁷. The KDIGO guidelines consequently do not recommend initiation 22 of statin therapy in CKD stage 5D patients although they advise on continuation of 23 statins if they have been prescribed earlier, as discussed in more detail by Ferro et 24 al.22. 25

26 The decreased efficiency of statin therapy as well as the altered relation of lipoproteins with cardiovascular risk for declining kidney function might be the conse-27 quence of the competing risk for non-atherosclerotic death in CKD stage 5D as 28 well as of an altered biological environment with increased inflammation and 29 oxidative stress. In the past decade, multiple lipid and lipoprotein modifications have 30 been identified in CKD patients, with contributions to pathological mechanisms like in-31 32 flammation and oxidative stress. Thereby, these modifications negatively affect the 33 functionality of organs like heart and vessels, thus most likely playing a role in the

development of comorbid disorders. Increased knowledge of lipoprotein modifica tions as well as the origin and consequences of these defects is of high rele vance for the understanding of molecular mechanisms of cardiovascular dis eases in CKD.

5 Furthermore, recent studies have increased our insights into the effect of fatty acid accumulation as well as hyperlipidemia and obesity over mitochondrial dysfunc-6 tion on kidney pathophysiology. Of note, lipid accumulation and mitochondrial dysfunc-7 tion also negatively impact on the heart, as discussed recently elsewhere⁸. In line, res-8 9 toration of mitochondrial function, increased autophagic flux and reduced cellular stress have been identified to contribute to the protective effects of sodium-glucose 10 cotransporter 2 (SGLT2) inhibitors on the heart as well as the kidney¹⁹⁸. Clinical studies 11 directly targeting mitochondrial function and oxidative stress in CKD patients are cur-12 rently ongoing to examine effects on microvascular and endothelial function, inflam-13 matory and oxidative stress markers (Table 1), with vascular dysfunction a hallmark 14 for CVD also in CKD¹⁰. Results of these trials may support further studies on the po-15 tential benefit of mitochondrial support in relation to kidney and heart function and may 16 thus trigger interesting novel therapeutic avenues. 17

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Table 1. Clinical studies targeting mitochondrial function in CKD. Listed are trials registered in "clinicaltrials.gov" and identified through a search for studies in relation to CKD and mitochondrial oxidative stress.

Study ID	Title	Patients	Dietary supplemen- tation	Outcome readouts	Phase
NCT02364648	Mitochondrial Oxidative Stress and Vascular Health in Chronic Kidney Disease	CKD stage 3-5	MitoQ vs. Placebo	Microvascular function, endothelial- dependent dilation and mitochon- dria-derived superoxide	Phase 4
NCT03960073	Chronic Kidney Disease and Heart Failure With Preserved Ejection Fraction: The Role of Mitochondrial Dysfunction	HFpEF with and without CKD	MitoQ vs. Placebo	Maximal aerobic capacity, large blood vessel hemodynamics, mito- chondrial respiration	Phase 4
NCT03579693	Trial of Nicotinamide Riboside and Co-enzyme Q10 in Chronic Kidney Disease (CoNR)	CKD (eGFR < 50 ml/min/1.73m ³)	CoQ10 vs. Nicotina- mide riboside vs. Pla- cebo	Readouts of maximal aerobic ca- pacity and muscle function, mito- chondrial energetics, systemic in- flammation and heart failure symp- toms	Phase 2

22 MitoQ is a mitochondria-targeted lipophilic antioxidant. Coenzyme Q10 (coQ10) and nicotinamide riboside (NR) are

naturally occurring supplements that may directly improve mitochondrial function. *HFpEF: Heart Failure With Pre-* served Ejection Fraction; CKD = chronic kidney disease.

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- 8

9 CONFLICT OF INTEREST

10 No conflict of interest.

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